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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Pramod K. Srivastava

Application No.: 09/873,403

Group Art Unit: Not yet assigned

Filed: June 4, 2001

Examiner: Not yet assigned

For: COMPLEXES OF ALPHA (2)  
MACROGLOBULIN AND ANTIGENIC  
MOLECULES FOR IMMUNOTHERAPY

Attorney Docket No.: 8449-178-999

**PRELIMINARY AMENDMENT UNDER § 1.115**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with Rule 115 of the Rules of Practice, please enter the following amendments and remarks. Submitted herewith is a marked-up copy of the amendments, as Exhibit A, indicating deleted matter by brackets, and additions by underlining.

It is estimated that no additional fee is required for filing this Amendment. However, should the Patent Office determine otherwise, please charge the necessary fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

**IN THE SPECIFICATION:**

Please delete the last paragraph on page 12, which continues on to page 13 (*i.e.*, the first paragraph under the section heading "Brief Description of the Figures"), and replace it with the following paragraph:

**FIG. 1A-C.** Identification of an 80 kDa polypeptide as a putative gp96 receptor. **A.** Confocal microscopy of re-presentation-competent RAW264.7 cells stained with gp96-FITC